

T+2 Steering Committee (T2SC)

T+2 Working Groups - Joint meeting

Agenda

August 24, 2017 at 11:00 AM ET - 8:00 AM PT

1. Approval of Minutes
 - a. [Operations Working Group](#) (OWG) – July 27, 2017 Michael Holmes
Domenic Sgambelluri
 - b. [Legal/Regulatory Working Group](#) (LRWG) – August 23, 2017 Jamie Anderson
 - c. [T+2 Steering Committee](#) (T2SC) – July 27, 2017 Keith Evans
2. UST2 - Status of T+2 John Abel
3. CCMA Update Keith Evans
4. [Implementation Plan](#) Keith Evans
5. T2SC Working Group Status
 - a. OWG Michael Holmes
Domenic Sgambelluri
 - b. LRWG Jamie Anderson
 - c. CEWG Barb Amsden
 - d. MFWG Kyle Bedeau
6. Issues completed by working groups for approval Jason O’Born
 - a. LRWG - The issues to be closed are LRWG - 001, 3, 10, 11, 12, 17, 20, 30, 34, 38, 46, 47, 50, 51, 56, 58, 63, 68, and 69.
7. Other Business
8. Next Meeting Post Transition Review Meeting - September 21, 2017

Conference Details

Conference ID: 7312646#

Local Dial-in 416-933-8665 Toll-free Dial-in: 1-888-402-9166

OWG - Minutes of July 27, 2017

Michael Holmes and Domenic Sgambelluri welcomed attendees to the meeting of the Operations Working Group.

1. Approval of the June 13, 2017 Meeting Minutes

The minutes of the meeting held on June 14, 2017 were approved as written by members of the working group.

2. CCMA Update

Keith Evans provided an update on the activities of the CCMA, as follows

- 39 days to go until transition to T+2
- 36 of the 37 Project Acknowledgement Forms that were issued on June 1st, with a return date of June 30th have now been received. The one outstanding PAF had difficulty getting to the right person for signature. Keith believes that he now has that sorted out and the form should be signed and returned by the early part of August. Based on questions, Keith was not prepared to identify the missing PAF at this time.

3. CSA Notices

Keith reported that CCMA had drafted a response to the CSA with regards to NI 81-102. He said that the T2SC reviewed and approved the letter at their meeting on June 22nd. CCMA then submitted the final letter to the CSA on July 6th, prior to the deadline of July 26, 2017.

4. Ex-Date during T+2 transition

Johann Lochner from CDS was unable to attend the meeting. However, Keith was able to report that the TSX had issued a 'Guidance' notice to its Issuers. This notice outlined the Ex-Date issue, and asked that all Issuers avoid September 5th as an Ex-Date. In the notice, the TSX identified the Record Date and the corresponding Ex-Date for the transitional period.

Subsequently, CDS issued an operational bulletin outlining the TSX notice.

Keith reported that both DTCC and CDS will both be monitoring events set up during the transitional period in order to ensure that September 5th is not being used.

The CSE expects to issue a similar direction to its Issuers before the end of July.

The US Exchanges have already issued notices to all of their Issuers.

5. Implementation Planning

Keith Evans reported that the CCMA Implementation Plan has been drafted and will be presented to the T2SC this afternoon for review and approval. He agreed to share the draft information with the OWG and seek any input.

Keith indicated that based on planning to date, the UST2 project has designed a very similar approach to what the CCMA have devised.

Keith said that during the implementation period, Vendors, Clearing agencies, Exchanges, etc. will all be issuing and following their own implementation plans, and consequently, the CCMA does not want to duplicate or interfere with their process. The CCMA's plan will act on a cross-industry basis to complement the individual firm and other plans mentioned above. The CCMA will not duplicate what individual firms and their infrastructure and service providers, as well as their vendors, will be doing in order to avoid unnecessary work and the potential for confusion. Instead, the CCMA will provide a vehicle for the industry to ask questions that cannot be answered within a subpart of the industry, provide updates, and work with appropriate parties to determine any additional steps required, etc. across parts or all segments of the industry.

The CCMA will provide the following information and support during the 12 day transitional period.

- Communicate via email, to members of the T2SC, on the overall industry status, as it is known during the Labour Day weekend (Saturday, Sunday, Monday), based on input from CDS/CDCC/TMX, Fundserv, UST2 and others *voluntarily* providing information such as custodians and service providers/vendors, regarding:
 - a. Did vendors and others implement their code changes successfully?
 - b. Are there any known issues/concerns?
- Set up the conference bridge at noon each day beginning Monday, August 28, 2017, and continuing until noon on Friday September 8, 2017, to:
 - a. Provide a brief update as known (for example, that on the T+2 trading starting on September 5, 2017, the expected two-day settlement date was reported to the clearing agencies)
 - b. Allow members to identify major surprises or concerns
 - c. Facilitate questions related to the transition and answers
 - d. Provide statistics related to trades reported, affirmed, etc. once available.
- Provide a summary of the noon calls by e-mail and on the CCMA website shortly after the calls for non-Members and those unable to participate.

- Set up an emergency conference call, if and when it is deemed necessary. The time and purpose of such calls, and whose attendance is desired, will be communicated via email to relevant members of the T2SC, and the various CCMA Working Group members, or others as appropriate.
- Post a summary of all calls on the CCMA website.

6. Industry Test Planning

a. Detailed Test Plan – CDS Update

Johann Lochner from CDS was unable to attend the meeting. Keith was able to report that CDS has received all of its sign offs of the Project Acknowledgement Forms.

CDS had been approached to provide an additional testing window to allow a small number of participants to test Non Exchange trades. A window of July 24th to July 27th was established and set up. There has been some minor activity and no issues have been reported.

The Ex-Date issue has been resolved by the issuance of Guidance by the various exchanges to their Issuer clients to avoid September 5th as an Ex-Date. He also reported that CDS, as well as DTCC will be monitoring for any issue that might attempt to use September 5th as an Ex-Date and make the necessary changes.

b. Detailed Test Plan – Fundserv Update

Kyle Bedeau gave an update on the Fundserv testing. He stated that the Fundserv version 27 release - which contains all the required changes to support T+2 - has already been implemented into production. As well, two mock tests were completed by our members with no major issues being reported. As there was not an abundant amount of requests for a third mock cycle, Fundserv would not be proceeding with a 3rd mock test.

Kyle reported that Fundserv has published its transition plan, and posted it on their secure website. Kyle reminded manufacturer members of their obligations to meet the deadline of August 1, 2017 to both update their funds to v27 and submit their FD/MD files for T+2.

Kyle said that Fundserv had recently issued a survey to their clients to solicit feedback on their mock testing. Results should be available in early August.

c. Detailed Test Plan – CDCC Update

Nabil Egseem reported that Roger Warner from CDCC had retired at the end of June, and that Ana May would now be responsible for the CDCC T+2 project. Ana was not able to attend, and Nabil reported that there was nothing new to report from CDCC.

d. Detailed Test Plan – DTCC Update

Keith Evans reported that the US is into Cycle 12 of their 14 cycles of testing. There volumes of transaction are declining as members continue to complete their testing requirements. There have been no issues reported.

7. Review of Issue Log

Michael reported that there are no open issues that require discussion for this meeting.

8. Issues to be closed

Michael reported that there are nine issues to be closed this month.

- a. OWG – 001 – Industry Testing. Michael reported that since the bulk of industry testing is now complete and no issues have been reported, that this issue can now be closed.
- b. OWG – 026 – Chi-X. Michael reported that since the Project Acknowledgement Form had been received for all ATS entities, that these issues can now be closed.
- c. OWG – 027 – Instinet. Michael reported that since the Project Acknowledgement Form had been received for all ATS entities, that these issues can now be closed
- d. OWG – 028 – CBID (Perimeter). Michael reported that since the Project Acknowledgement Form had been received for all ATS entities, that these issues can now be closed
- e. OWG – 029 – Omega. Michael reported that since the Project Acknowledgement Form had been received for all ATS entities, that these issues can now be closed
- f. OWG – 030 – Match Now. Michael reported that since the Project Acknowledgement Form had been received for all ATS entities, that these issues can now be closed
- g. OWG – 031 – Liquidnet. Michael reported that since the Project Acknowledgement Form had been received for all ATS entities, that these issues can now be closed

- h. OWG – 032 – CanDeal. Michael reported that since the Project Acknowledgement Form had been received for all ATS entities, that these issues can now be closed
- i. OWG – 034 – Ex-Date Issue. Michael reported that since the exchanges have issued notices to their Issuer clients, that this issue can now be closed.

Members approved the recommendation to close these nine issues. Michael said that he will take these to the T2SC meeting this afternoon and report them for closure.

9. Other Business

Keith suggested that the next OWG meeting should be combined with the T2SC meeting on August 24th, as this will likely be the last meeting opportunity prior to the commencement of the transition.

10. Next Meeting

The next meeting is scheduled for Thursday August 24th at 11:00 AM ET.

Michael and Domenic thanked everyone for their participation.

List of Attendees

Northern Trust	Domenic Sgambelluri (Co-Chair)
Raymond James	Michael Holmes (Co-Chair)
AGF	Scott Maynard
Alberta Investment M C	David Austin
BBS	Deborah Carlyle
BMO	Vincenza Riga
Broadridge	Theresa Blonde
Canaccord	Sheera Badial
	Brenda McIntyre
	John Coyle
CanDeal	Joe D'Alessandro
CCMA	Keith Evans
	Barb Amsden
CDCC	Nabil Egseem
CDS	Anna Guercio
CEFTA	Pat Dunwoody
CIBC	Susan Fleming
CIBC Mellon	Kerry Phippen
	Jordane Pesantez
	Dina Zivanovic
Cormark	Eilleen Conboy

Desjardin	Didier Buisine Danielle Trépaner Jean François Nadeau
DTCC/Omgeo	Ana Lotharius
Edward Jones	Jamie Kobie
Fidelity	Rob Argue
FundServ	Kyle Bedeau
IBM	Amy Li
Invesco	Loredana Salceda
Manulife	Scott Chimenti
MD Financial	Allan Riccardi
Morgan Stanley	Dianne Duffy Brian Choy
National Bank	Natalie Roberge
NEO	Janet Hall
OSC	Aaron Ferguson
Questrade	Lulu Feng
RBC IS	Doug Allard
Scotiabank	Frank Jocaitis Michael Bieley Riaz Merchant
Statestreet	Ivan Yang
TD	Michael Kenny Dave O'Marra Jasvir Bhogal

LRWG Minutes from Wednesday August 23, 2017

Jamie Anderson welcomed all to the LRWG meeting. A role call was taken and the attendees are listed at the end of the minutes.

1. Approval of the August 2, 2017 meeting minutes

Jamie Anderson

The minutes were approved.

2. Matters arising from the August 2, 2017 meeting minutes

There were no matters arising.

3. Review of Open Issues

(a) SROs

MFDA (#026)

Paige Ward

Paige was unable to attend. Paige advised through email that the MFDA would make the change in time for the transition on Sept. 5, 2017.

(b) ATS's

Liquidnet Canada Inc. – #057

Al Kovacs

Al was unable to attend. Al advised that the F2 filing is expected this week.

Nasdaq CXC/Nasdaq CX2 – #027

Matt Thompson

Matt was unable to attend. Matt advised through email that the F2 filing would be made Aug. 23, 2017.

(c) NI 81-102 / NI 81-104 – #004; #014

CSA

CSA was unable to attend.

(d) Investment Funds not subject to NI 81-102

LRWG

Scholarship Plans (#033)

Pooled Funds (#035)

Investment funds that are not reporting issuers (#066)

Labour Sponsored Investment Funds (#067)

No new feedback has been received on these categories of investment funds.

4. Issues completed to report to T2SC for approval

Jamie Anderson

- LRWG-063 (OneChicago, LLC)
- LRWG-068 (CBOE Futures Exchange, LLC)
- LRWG-069 (Net Energy Inc.)

5. Other Business

There was no other business.

6. Joint Working Groups/T2SC meeting on Thursday August 24, 2016 @ 11am

Jamie thanked everyone for their attendance and input. The meeting was then adjourned.

List of Attendees

Bloomberg Tradebook
Bourse de Montréal (MX)
CCMA

Canadian ETF Association
CIBC
CIBC Mellon

Ed Moran
Martin Janelle
Barb Amsden
Jamie Anderson (Chair)
Keith Evans
Jessica Stern
Terry Moore
Dina Martinovic
Carol Revorado



Fidelity
IIROC
Instinet
MD Financial Management
NBC
TD

TriAct
TSX

Joanne Taylor
Answerd Ramcharan
Leo Drori
David Gormley
Wayne Warner
Rob Candido
Nima Besharat
Kuno Tucker
Mark Jarrett

T2SC - Minutes of July 27, 2017

Keith Evans of CCMA welcomed and thanked all members for attending the T2SC meeting.

1. Approval of the June 22, 2017 meeting minutes

Keith Evans

Keith reported that the minutes had been distributed prior to the meeting and that no comments had been received. No additional comments were made at the meeting and the minutes of June 22, 2017 were unanimously approved.

2. UST2 - Status of T+2 John Abel

John Abel was unable to attend the meeting. However, Keith was able to report that the US is in Cycle 12 of the 14 two-week cycles. Transactions are declining as member firms complete their testing requirements. No issues have been reported at this time.

Keith also reported that the UST2 Industry Steering Committee was working on completing their implementation plan. Keith indicated that it was similar to what CCMA will be proposing later on the agenda.

3. CCMA Update

Keith Evans provided an update on the activities of the CCMA, as follows

- 39 days to go until transition to T+2
- 35 of the 37 Project Acknowledgement Forms (“PAF”) that were issued on June 1st, with a return date of June 30th have now been received (note that one recipient ceased operations in Canada after the PAFs were issued). The one outstanding PAF has had difficulty getting to the right person for signature. Keith believes that he now has that sorted out and the PAF should be signed and returned by the early part of August. Based on questions, Keith was not prepared to identify the missing PAF at this time.
- CDS has received all of the project acknowledgement forms it sent out

- CCMA sent a comment letter to the CSA regarding NI 81-102 *Investment Funds* on July 6, 2017¹

4. Implementation Planning

Keith Evans reported that the CCMA Implementation Plan has been drafted was included in the material for this meeting. Keith indicated that based on planning to date, the UST2 project has designed a very similar approach to what the CCMA have devised.

Keith said that during the implementation period, Vendors, Clearing agencies, Exchanges, etc. will all be issuing and following their own implementation plans, and consequently, the CCMA does not want to duplicate or interfere with their process. The CCMA's plan will act on a cross-industry basis to complement the individual firm and other plans mentioned above. The CCMA will not duplicate what individual firms and their infrastructure and service providers, as well as their vendors, will be doing in order to avoid unnecessary work and the potential for confusion. Instead, the CCMA will provide a vehicle for the industry to ask questions that cannot be answered within a subpart of the industry, provide updates, and work with appropriate parties to determine any additional steps required, etc. across parts or all segments of the industry.

The CCMA will provide the following information and support during the 12 day transitional period.

- Communicate via email, to members of the T2SC, on the overall industry status, as it is known during the Labour Day weekend (Saturday, Sunday, Monday), based on input from CDS/CDCC/TMX, Fundserv, UST2 and others *voluntarily* providing information such as custodians and service provides/vendors, regarding:
 - a. Did vendors and others implement their code changes successfully?
 - b. Are there any known issues/concerns?
- Set up the conference bridge at noon each day beginning Monday, August 28, 2017, and continuing until noon on Friday September 8, 2017, to:
 - a. Provide a brief update as known (for example, that on the T+2 trading starting on September 5, 2017, the expected two-day settlement date was reported to the clearing agencies)
 - b. Allow members to identify major surprises or concerns
 - c. Facilitate questions related to the transition and answers
 - d. Provide statistics related to trades reported, affirmed, etc. once available.

¹ <http://ccma-acmc.ca/en/wp-content/uploads/CCMA-Letter-to-CSA-on-T2-related-NI-81-102-Investment-Funds-Amendments-July-6-2017-.pdf>.

- Provide a summary of the noon calls by e-mail and on the CCMA website shortly after the calls for non-Members and those unable to participate.
- Set up an emergency conference call, if and when it is deemed necessary. The time and purpose of such calls, and whose attendance is desired, will be communicated via email to relevant members of the T2SC, and the various CCMA Working Group members, or others as appropriate.
- Post a summary of all calls on the CCMA website.

It was agreed that the CCMA Implementation Plan is approved subject to the condition that it remain open until the end of the weekend for feedback and then be finalized the subsequent week.

5. T2SC Working Group Status

a. OWG

Michael Holmes

Domenic Sgambelluri

Domenic reported that the TSX had issued a 'Guidance' notice to its Issuers. This notice outlined the Ex-Date issue, and asked that all Issuers avoid September 5th as an Ex-Date. In the notice, the TSX identified the Record Date and the corresponding Ex-Date for the transitional period. Subsequently, CDS issued an operational bulletin outlining the TSX notice. He also reported that both DTCC and CDS will both be monitoring events set up during the transitional period in order to ensure that September 5th is not being used. The CSE expects to issue a similar direction to its Issuers before the end of July, while the US Exchanges have already issued notices to all of their Issuers

b. LRWG

Jamie Anderson

Jamie reported that the LRWG continues to work through their list of outstanding Issues. He summarized the work left to do as follows;

There are a total of 67 issue logs, of which 26 remain open after closing 9 logs today. At least 14 will be slated to be closed at the next T2SC meeting in August.

Jamie also reported that the UST2 working group had identified some wording changes for the Securities Lending Master Agreement in the US. He said that the LRWG had concluded that similar changes were not required in Canada, and consequently the Issue has remained closed.

c. CEWG

Barb Amsden

Barb reported that the most recent survey had been completed in June. The results will be released very soon. However, Barb reported that the overall industry readiness is very high.

Barb also reported that CCMA will be issuing another newsletter shortly that will deal with the survey results, an update on the collection of the PAF, as well as a summary of the CCMA Implementation Plan.

d. MFWG

Kyle Bedeau

Kyle Bedeau gave an update on the Fundserv testing. He stated that the Fundserv version 27 release - which contains all the required changes to support T+2 - has already been implemented into production. As well, two mock tests were completed by our members with no major issues being reported. As there was not an abundant amount of requests for a third mock cycle, Fundserv would not be proceeding with a 3rd mock test.

Kyle reported that Fundserv has published its transition plan, and posted it on their secure website. Kyle reminded manufacturer members of their obligations to meet the deadline of August 1, 2017 to both update their funds to v27 and submit their FD/MD files for T+2.

Kyle said that Fundserv had recently issued a survey to their clients to solicit feedback on their mock testing. Results should be available in early August.

6. Issues completed by working groups for approval

On behalf of the OWG, Domenic reported that there are nine issues being submitted for closure this month.

- j. OWG – 001 – Industry Testing. Domenic reported that since the bulk of industry testing is now complete and no issues have been reported, that this issue can now be closed.
- k. OWG – 026 – Chi-X. Domenic reported that since the Project Acknowledgement Form had been received for all ATS entities, that these issues can now be closed.
- l. OWG – 027 – Instinet. Domenic reported that since the Project Acknowledgement Form had been received for all ATS entities, that these issues can now be closed
- m. OWG – 028 – CBID (Perimeter). Domenic reported that since the Project Acknowledgement Form had been received for all ATS entities, that these issues can now be closed

- n. OWG – 029 – Omega. Domenic reported that since the Project Acknowledgement Form had been received for all ATS entities, that these issues can now be closed
- o. OWG – 030 – Match Now. Domenic reported that since the Project Acknowledgement Form had been received for all ATS entities, that these issues can now be closed
- p. OWG – 031 – Liquidnet. Domenic reported that since the Project Acknowledgement Form had been received for all ATS entities, that these issues can now be closed
- q. OWG – 032 – CanDeal. Domenic reported that since the Project Acknowledgement Form had been received for all ATS entities, that these issues can now be closed.
- r. OWG – 034 – Ex-Date Issue. Domenic reported that since the exchanges have issued notices to their Issuer clients, that this issue can now be closed.

Keith Evans asked if there were any questions or issues from committee members that would prevent these nine Issue Logs items from being closed.

There were no issues or concerns and the Issue Logs was approved for closure.

On behalf of the LRWG, Jamie reported that there were nine Issues being presented for closure at this month's meeting.

- i. LRWG-009 (TSX Trading Policies) – Jamie reported that this item is part of the TMX housekeeping approvals required for T+2.
- ii. LRWG-015 (TSX-V Trading Policies) - Jamie reported that this item is part of the TMX housekeeping approvals required for T+2.
- iii. LRWG-024 (IIROC - member rules) - Jamie reported that this item has now received CSA approval and IIROC has issued the implementation date of September 5, 2017.
- iv. LRWG-029 (TSX Company Manual) - Jamie reported that item is part of the TMX housekeeping approvals required for T+2.
- v. LRWG-031 (TSX-V Corporate Finance Manual) - Jamie reported that this item is part of the TMX housekeeping approvals required for T+2.
- vi. LRWG-041 (ICE Clear Credit LLC) – Jamie reported that ICE has confirmed that there are no Rule changes required to support the transition to T+2.

- vii. LRWG-042 (LCH Clearnet Limited) - Jamie reported that LCH Clearnet has confirmed that there are no Rule changes required to support the transition to T+2.
- viii. LRWG-052 (IIROC – UMIR) - Jamie reported that this item has now received CSA approval and IIROC has issued the implementation date of September 5, 2017,
- ix. LRWG-064 (NI 62-104 – *Takeover Bids and Issuer Bids*) – Jamie reported that the overall industry does not support any changes to this National instrument as a result of the transition to T+2.

Keith Evans asked if there were any questions or issues from committee members that would prevent these nine Issue Logs items from being closed.

There were no issues or concerns and the Issue Logs was approved for closure.

7. Other Business

Keith Evans reported that the next meeting of the T2SC will include members from the other Working Groups as this will be the final meeting prior to transition to T+2.

8. Next Meeting

August 24, 2017

List of Attendees

CCMA	Keith Evans (Co-Chair)
RBC – CM	Jason O’Born (Co-Chair) – Not Attending
Blackrock	William Trieu
Canaccord	John Coyle
CCMA	Jamie Anderson
	Barb Amsden
CIBC Mellon	Dina Martinovic
CIBC World Markets	Paul Tamburo
DTCC/UST2	Ana Lotharius
Fidelity	James Stergiou
	Rob Argue
Fundserv	Kyle Bedeau

GMP	Joe Riga
IBM	Amy Li
IFDS	Blair Munn
	Millicent Asiamah
IIROC	Ciro Marabella
L&TI	Mike Lamacchia
Manulife	Bill Devolin
MD Financial	Allan Riccardi
National Bank	Natalie Roberge
Northern Trust	Domenic Sgambelluri
PMAC	Kevin Leblanc
Raymond James	Michael Holmes
Scotiabank	Riaz Merechant
Statestreet	Ivan Yang
TD Bank	Dave O'Marra
	Michael Kenny

CCMA Two-Week Transitional Back-up Support

The move to a shortened settlement period in Canada (along with the U.S. and other countries) will occur on Tuesday, September 5, 2017. However, this is more than a one-day event. In fact, it will start with the last few days of T+3 trading from Monday, August 28, 2017 and end – assuming everything goes as planned – on the second settlement date of the T+2 transactions, on Friday, September 8, 2017. **This CCMA Transitional Back-up Support Proposal covers the 12-day period, including the Labour Day holiday weekend.**

The transition to T+2 will involve all segments of the industry, including sell-side, buy-side, custodians, infrastructure providers, etc. Each firm and each segment will have their own transition requirements, implementation plans, and staff/client awareness communication channels in effect for part, or all of this two-week period. In addition, infrastructure providers will have implementation strategies, which will provide their clients with the most pertinent information for their products. As such, these entities have all indicated that they have issued or will be issuing their own implementation plan with the timing of code changes, what they expect of clients, and communication plans. Members are encouraged to communicate directly with their clients and suppliers for any issue during the transitional period. **Any problems that occur within one firm or with a counterparty, infrastructure, service providers, vendors, or other intermediary, should be dealt with directly with the relevant entity.**

The CCMA's plan will act on a cross-industry basis to complement the individual firm and other plans mentioned above. The CCMA will not duplicate what individual firms and their infrastructure and service providers, as well as their vendors, will be doing in order to avoid unnecessary work and the potential for confusion. **Instead, the CCMA will provide a vehicle for the industry to ask questions that cannot be answered within a subpart of the industry, provide updates, and work with appropriate parties to determine any additional steps required, etc. across multiple parts or all segments of the industry.**

The CCMA will provide the following information and support during the 12-day transitional period.

- **Communicate via email, to members of the T2SC, on the overall industry status, as it is known during the Labour Day weekend (Saturday, Sunday, Monday),** based on input from CDS/CDCC/TMX, Fundserv, UST2 and others *voluntarily* providing information such as custodians and service providers/vendors, regarding:
 - a. Did vendors and others implement their code changes successfully?
 - b. Are there any known issues/concerns?
- Set up the conference bridge – usual number and participant code – **at noon each day beginning Monday, August 28, 2017, and continuing until noon on Friday September 8, 2017, to:**
 - a. Provide a brief update as known (for example, that on the T+2 trading starting on September 5, 2017, the expected two-day settlement date was reported to the clearing agencies)
 - b. Allow members to identify major surprises or concerns
 - c. Facilitate questions related to the transition and answers
 - d. Provide statistics related to trades reported, affirmed, etc. once available.
- **Provide a summary of the noon calls by e-mail and on the CCMA website** shortly after the calls for non-members and those unable to participate.

- **Set up an emergency conference call, if and when it is deemed necessary** – the time and purpose of such calls, and whose attendance is desired, will be communicated via email to relevant members of the T2SC, and the various CCMA Working Group members, or others as appropriate; summaries of such calls also will be circulated as appropriate and put on the CCMA website.
- **Respond to e-mails to kevans@ccma-acmc.ca and bamsden@ccma-acmc.ca on matters that cannot be addressed internally or through the [key infrastructure and service providers](#) that signed Project Acknowledgement Forms.**

Members wishing to provide additional contacts to receive e-mails for this period are asked to notify info@ccma-acmc.ca.

V3 – August 4, 2017