

## **T+2 Steering Committee**

### **Agenda**

**November 25, 2015**

**10:30 AM ET – 7:30 AM PT**

- |   |                     |
|---|---------------------|
| 1. Approval of the November 5, 2015 meeting minutes | Keith Evans         |
| 2. UST2 - Status of T+2                             | John Abel           |
| 3. Vendor/Utility - T+2 Readiness                   |                     |
| a. Paramax  | Gary Stephenson     |
| b. IBM  | Andrew Ledbury      |
| c. IFDS   | Blair Munn          |
| d. Broadridge/Dataphile                             | Mary Beth Law       |
| e. CDS  | Johann Lochner      |
| 4. T2SC Working Group Status                        |                     |
| a. T2SC   | Keith Evans         |
| b. OWG  | Michael Holmes      |
|   | Domenic Sgambelluri |
| c. LRWG   | Jamie Anderson      |
| d. CEWG   | Barb Amsden         |
| 5. Issues completed by working groups for approval  | Jason O’Born        |
| 6. Next Meeting                                     |                     |
| 7. Other Business                                   |                     |

### **Conference Details**

**Conference ID: 6232193#**

Local Dial-in 416-406-5118

Toll-free Dial-in: 1-866-276-0948

## **T+2 Steering Committee**

### **Minutes of the meeting held on**

**November 5, 2015**

**10:30 AM Eastern**

Keith Evans of the CCMA welcomed all attendees to the second meeting of the T+2 Steering Committee.

#### **1. Approval of Minutes**

**Keith Evans**

For the minutes of the meeting held on October 15, 2015, Keith indicated that there were only minor, non-material changes provided in advance of the meeting. He highlighted those changes. Keith also said that the Whitepapers issued by CDS and the UST2 were distributed as requested at the last meeting. Based on these updates the committee members approved the minutes.

#### **2. Board Approved Membership List**

**Keith Evans**

Keith said that the official membership list was approved by the CCMA Board at their most recent meeting. This list will be posted to the CCMA website shortly.

#### **3. The US status of T+2**

**John Abel**

John Abel from DTCC provided an update on the US project. He indicated that there were two main issues; an Implementation Plan, and an Industry wide Testing Plan. Both are due to be completed by the 18<sup>th</sup> of December, 2015. John said that the UST2 had hired Deloitte to manage the process, and that they were meeting twice a week for two hours at a time, in an effort to meet the December deadline. John said that these plans will be high-level and that more detailed plans will be completed by mid-2016. Their plan right now suggests that a 6-month window for testing will be scheduled for Q1 and Q2 of 2017.

#### **4. T2SC Working Group Updates**

**Keith Evans**

Keith provided an update on the status of the three working groups. He said that the Operations WG had recently held their first meeting (Nov 3) and it was very well attended. In the future this section will include updates from the chairs of the three working groups.

#### **5. Issues**

**Keith Evans**

Keith reported that an Issues Log has been developed to track the issues at each of the working groups, as well as the T2SC. A sample was pre-mailed with the agenda, and Keith walked through the approach. The members indicated that this approach was acceptable.

#### **6. Next Meeting**

Keith thanked all in attendance for their time, and recommended that the next T2SC meeting be planned for 10:30 am Eastern on November 25<sup>th</sup>. He said that it would be helpful if the major vendors could provide an update on their status at future T2SC meeting. Members agreed and Keith said he would make arrangements.

#### **7. Other Business**

There was no other business raised at this meeting.

**CCMA T2SC**  
**Meeting of November 5, 2015**  
**10:30 AM Eastern**  
**List of Attendees**

|                     |                        |
|---------------------|------------------------|
| CCMA                | Keith Evans (Co-Chair) |
| BCSC                | Meg Tassie             |
| BCSC                | Barinder Sidhu         |
| Bank of Canada      | Therese Couture        |
|                     | Christain Belisle      |
| BMO                 | Joseph Colucci         |
|                     | Art Valdes             |
| Broadridge          | Mary Beth Law          |
|                     | Paul Delport           |
|                     | Angie Fernando         |
| Canaccord           | Lynn Higgins           |
|                     | Brenda McIntyre        |
| CDS                 | Fran Daly              |
|                     | Lucy Mullins           |
| CETFA               | Pat Dunwoody           |
| CIBC Mellon         | Louis Lesnika          |
|                     | Kerry Phippen          |
| CIBC World Markets  | Greg Holmes            |
|                     | Andrew Jen             |
|                     | Paul Tamburro          |
|                     | Susan Fleming          |
| Computershare       | Lara Donaldson         |
| Connor Clark & Lunn | Mark Austin            |
| Credit Suisse       | Sam Farrell            |
| DTCC                | John Abel              |

|                      |                         |
|----------------------|-------------------------|
|                      | Ana Lotharius           |
| Edward Jones         | Allan Knight            |
| FMDA                 | Sandra Kegie            |
| Fidelity Clearing    | Jordan Diltz            |
| Fundserv             | Kyle Bedeau             |
| GMP Securities       | Joe Riga                |
|                      | Diane Gomes             |
|                      | Harold Walton           |
| IBM                  | Amy Li                  |
| Infotech Finl        | Kyle Johnson            |
| Northern Trust       | Domenic Sgambelluri     |
| OSC                  | John Kearns             |
| Pershing USA         | Scott Reifer            |
| PMAC                 | Katie Walmsley          |
| Raymond James        | Michael Holmes          |
| RBCI&TS              | Doug Allard             |
|                      | Osman Baig              |
| STAC                 | Bill Spiers             |
| Statestreet          | Denny Mendonca          |
|                      | Ivan Yang               |
|                      | Maggie Salisbury        |
| TD Securities/Wealth | Zain Yusuf              |
|                      | Tracey Randell          |
|                      | Kim Tudhope             |
|                      | Gustavo Garcia-Herreros |
|                      | Brandon Buckley         |