



Are Your Funds Moving to T+1 or Staying at T+2 on May 27, 2024?

This survey is being conducted so that dealers, advisors, custodians, investors, and other interested parties can find out as soon as possible which T+2 funds will move to T+1 and which will remain at T+2 on May 27, 2024. This will be the first time in most people’s memories when there may be a difference between the settlement cycle of a material volume of mutual funds and the standard cycle applied to Canadian debt, equities, ETFs, and other investments.

- **Information from the survey - a listing of companies and fund codes of firms moving (1) no funds to T+1, (2) 100% of funds to T+1, and (3) funds moving all but a number of identifiable ones where no decision has yet been made (e.g., a certain class) - will be made publicly available on the CCMA website on a weekly basis, as received, as an interim measure.**
- **The reason for this effort is that surveys to date have had modest response rates, don’t provide the desired detail, and/or do not have full coverage (e.g., recordkeepers/custodians results only relate to their clients). Some parties in the mutual fund purchase and redemption chain require responses because further delay would make it too late for those with legacy/hard-coded systems and some service providers/vendors to be able to comfortably manage the changes. Although many (most) investors may be unaware now and may not care in future about the settlement cycle, advisors have a KYP responsibility to be able to answer client questions, and do-it-yourself investors should have access to information that may be relevant to their decisions.**

Please complete this very short survey (even if you have completed other surveys) and send any questions to info@ccma-acmc.ca. Note: If you would prefer a pdf version of this survey, please email us at info@ccma-acmc.ca.

*** 1. Are you moving ALL T+2 funds you manage to T+1? If YES, please complete the information in the textbox below the response options.**

Yes

No

Please provide fund codes (if responding for a Fundserv member) or fund names if replying for non-Fundserv members

*** 2. Are you keeping ALL T+2 funds you manage at T+2? If YES, please complete the information in the textbox below the response options.**

Yes

No

Please provide fund codes (if a Fundserv member) or fund names if not

*** 3. Are you moving SOME T+2 funds to T+1? If so, please indicate the expected percentage of funds moving and provide additional commentary (e.g., moving all but... or moving none except... or about 10% or all North-American)**

Already answered (see above)

1%-25%

26%-50%

51%-75%

76%-99%

Please provide any additional information about which funds are moving or not moving (e.g. moving all to T+1 except Series O, about 10% of funds will move, or CIFSC categories x, y, z will move)

*** 4. Please complete the following information in case there are follow-up questions. This information will NOT be made public.**

Name:

E-mail:

Tel./Cell #:

5. Please provide any additional comments and suggestions that you wish.