

T+2: Fundserv and IFIC Working Group
Meeting: Friday, May 27, 2016, 10:30 a.m. to 12 p.m. ET

ACTION ITEMS

Item #	Responsible party	Item	Action	Opened	Status
1.	All Members	High Level Test Plan	Members to review Test Plan and be prepared to provide feedback at next meeting or to t2feedback@fundserv.com .	05/27/16	Open
2.	C. Wang. IFIC	Regulatory Changes	C. Wang to coordinate meeting with B. Munn(IFDS) and Russ White(Fundserv) with IFIC Legal	05/27/16	Open
3.	Fundserv	Fund Setup File Changes/Distributor Requirements	Fundserv to present options for Fund profile changes and Distributor requirements at TAC follow up meeting on June 8.	05/19/16	Open
4.	Distributor-channel/Distributor system providers	Fund Setup (FD) File	Distributor-channel members and/or their system providers to advise if they have any requirements for the implementation with respect to the Fund Setup(FD) File	03/07/16	Open
5.	P. Goldthorpe, CLHIA	Segregated Funds	P. Goldthorpe to review with CLHIA and determine if there are any legal issues and advise this WG at the next meeting	03/07/16	Open
6.	Members	T+2 Analysis Spreadsheet Impact Document	Members asked to review document and provide feedback.	01/26/16	Closed
7.	C. Wang, IFIC	Legal and Regulatory Requirements	C. Wang to consult IFIC legal on Legal & Regulatory changes and provide recommendation to this Working Group at the next meeting.	03/07/16	Closed
8.	Fundserv	Fund Setup File Changes	Fundserv to suggest possible deadline dates for manufacturers to advise Fundserv of non-impacted funds (i.e. T+3 funds that will not be moving to T+2), in advance of the implementation weekend.	01/26/16	Closed
9.	C. Wang. IFIC	NI 81-102/Settlement-Sell Contract for Client Name Redemptions (Items#47 & 15 on Impact Analysis Document)	C. Wang to confirm if any changes to NI 81-102 as Fundserv edit will need to move from T+10 to T+9	04/22/16	Closed