

T+1 Settlement Working Group - Meeting Agenda

Date: Wednesday, December 14th, 2022
Time: 11:00 am – 12:00 pm (ET)

Teleconferencing: Please note we will be using Microsoft Teams for this meeting.
[Click here to join the meeting](#)
 +1 647-749-9252,,699378745# Canada, Toronto
 Phone Conference ID: 699 378 745#

If you have any questions please contact
workinggroupcoordinator@fundserv.com for assistance.

Agenda

Welcome & housekeeping – S. Campbell

11.05 a.m.

- Welcome to today’s Working Group meeting from Fundserv, and housekeeping information.

Status of CSA T+1 guidance – R. White

11.10 a.m.

- A full review the CSA’s Fall communication on whether T+1 will be mandated.

Review of open action items – R. White

11.15 a.m.

Item #	Owner(s)	Action	Due by	Status
1.	Members	Fund companies to ask their leadership teams to review all of their products and determine whether any funds cannot be moved to T+1.	Next Meeting	Open
2.	Members	Dealers to return to their organization and ensure there is a project in place at their organization for their leadership teams to review if they are dealing with cheques, and to determine how they plan avoid using cheques.	Next Meeting	Open
3.	Fundserv	R. White to follow up with the OSC for their opinion on the lack of NI 81-102 rule enforcement in segregated funds.	Next Meeting	Open

Review of Issue Log – R. White

11.20 am

- All open Issue Logs in the attached document will be discussed, as well as potential items.

Meeting Summary & Conclusion – R. White

11.55 am

Next meeting: January 18th, 2023 at 11:00 a.m. to 12:00 p.m. (third Wednesday of the month).