



**Meeting link:** <https://us02web.zoom.us/j/87648777622?pwd=VEtxRytEVHBrUjdDNFBtaTd0T2NKZz09>

### **Call to Order**

Attendees are requested to enter their name and firm name in Zoom (those dialing in are requested to email the Chair to confirm their attendance)

- 1. Approval of Minutes from the meeting held on June 15, 2023**
- 2. Matters arising from the minutes of the meeting held on June 15, 2023**
- 3. CCMA updates**
- 4. Canadian Investment Regulatory Organization issue log updates:**
  - a) LRWG17 (Investment Dealer & Partially Consolidated Rules) & LRWG19 (UMIR) – Request for comments ended June 19, 2023**
  - b) LRWG16 (Settlement date for f/x trades)**
  - c) LRWG15 (MFDA 5.4.3)**
- 5. Other Business**
- 6. Next Meeting**                      August 17, 2023 at 11:00 AM ET

Jamie Anderson chaired the meeting and welcomed the attendees. Attendees were requested to indicate their name and firm; those telephoning in to the video meeting were requested to email Jamie or Keith Evans to confirm their attendance.

### **1. Approval of Meeting Minutes – May 18, 2023**

The meeting minutes were approved.

### **2. Matters arising from Meeting Minutes – May 18, 2023**

There were no matters arising from the meeting minutes.

### **3. Standardized form for NI 24-101 Trade Matching Statement**

Jamie reported that the OWG concluded that there was utility in the standardized Trade Matching Statement (“TMS”) and having such posted on the IIAC website would be useful.

There were some minor updates to the TMS reviewed by the LRWG (a marked and clean version of the TMS was included in the meeting materials). There were no comments on the TMS amendments.

The next step is that the IIAC will review and if it endorses the TMS, the TMS will be posted on the publicly available part of the IIAC website.

### **4. Other Business**

There was a question raised concerning the cut-off time to issue a recall for a securities loan (i.e. would it be 11:59 p.m.).







Keith advised that the Canadian Securities Lending Association (“CASLA”) is actively involved in T+1 and the impact on securities lending, including discussions with: third-party vendors to automate, standardize and track recalls (making the process interoperable), the TMX/CDS to explore matching “long” inventory holders with “short” holders, and SIFMA to maintain alignment in the securities lending markets. The initial investigation into the items are expected to conclude in July 2023.

Jamie reported that the master securities lending agreements (e.g. SIFMA’s MLSA) were amended to be agnostic as to settlement cycle length and as such, no further amendments are required.

### **5. Next Meeting**

July 20, 2023 at 11:00 AM ET

Attendance		Organization	Member
1.		ASC	Jan Bagh
2.		ASC	Chad Conrad
3.		ASC	Harvey Steblyk
4.		BMO	Claudia Ardeleanu
5.		BMO	Natalia Markelova
6.		BMO	Svetlana Perunova
7.		BMO	Olga Svistoun
8.		BMO	Iris Trotman
9.		Casgrain	Alejandro Hozer
10.		Casgrain	Lysianne Guillemette
11.		Casgrain	Jonathan Lee
12.		Casgrain	Pierre Mital
13.		Casgrain	Andre Zanga
14.		CCMA	Barb Amsden
15.		CCMA	Jamie Anderson - CHAIR
16.		CCMA	Keith Evans
17.		CIBC	Maryam Bashir
18.		CIBC	Carol Elmalem
19.		CIBC	Halyna Fenkanyhawryshko
20.		CIBC	Lavanya Gandhimohan
21.		CIBC	Vikram Gulati
22.		CIBC	Danny Leca
23.		CIBC	Terry Moore
24.		CIBC	Jim Newman
25.		CIBC	Kapil Sharma
26.		CIBC Mellon	Frank Baron
27.		CIBC Mellon	Nick Douzenis
28.		CIBC Mellon	Carol Revoredo
29.		Connor, Clarke & Lunn	Patrick Robitaille
30.		Desjardins	Zachary Carmel
31.		Desjardins	Gino Cimetta
32.		Desjardins	Francine Duchesne
33.		Desjardins	Lafleche Montreuil
34.		Desjardins	Éric Primeau
35.		Desjardins	Jean-Gabriel Vigneault
36.		DTCC ITP	Tasneem Novak
37.		DTCC	Vikash Saunders
38.		DTCC	Patricia Wong
39.		E&Y Canada	Esmaeil Enjilela
40.		E&Y Canada	Stephen Gaon

Attendance	Organization	Member
41.	E&Y Canada	Alexandra Nestyurkina
42.	E&Y Canada	Chris Pimentel
43.	E&Y Canada	Gaurang Sardana
44.	E&Y Canada	Thad Spiker
45.	 Edward Jones	Todd Stevenson
46.	IFIC	Pamela Egger
47.	 IFIC	Janet Salter
48.	 IIROC	Muneeb Ahsan
49.	IIROC	Catherine Drennan
50.	 IIROC	Bruce Grossman
51.	Invesco	Caroline Mingfok
52.	L&T Infotech/IFIC	Janaki Nagulan
53.	L&T Infotech	Kim Barrett
54.	Manulife	Bill Devolin
55.	 Morgan Stanley	Brian Choy
56.	 Morgan Stanley	Mazen Ghanem
57.	 National Bank	Anna Tyniec
58.	 Northern Trust - Legal	Scott Kelly
59.	 OSC	Matthew Andreacchi
60.	OSC	Aaron Ferguson
61.	OSC	Nick Hawkins
62.	OSC	Annetta Ho
63.	OSC	Frank Lacroce
64.	 OSC	Michael Tang
65.	OSC	Emily Sutlic
66.	OSC	Stephanie Wakefield
67.	 Questrade	Lawrence Horowitz
68.	RBC	Allan Laurent
69.	RBC - IS	Alan Tonner
70.	Scotiabank	William Finn
71.	Scotiabank	Chesley Morphy
72.	Scotiabank	Julia Piergeti
73.	Scotiabank	Sean Steele
74.	Société Générale Capital Canada Inc.	Maxime Frézal
75.	 Société Générale Capital Canada Inc.	Louis-Philippe Nadeau
76.	Société Générale Capital Canada Inc.	Marc-Antoine La Rochelle
77.	Société Générale Capital Canada Inc.	Anna Wong
78.	 State Street	Christen Henry
79.	State Street	Rose Mark
80.	TD	Marlene Costa

Attendance		Organization	Member
81.		TD	Jasvir Bhogal
82.		TD	Ellen Lee
83.		TD	Veronica Lee
84.		TD	Riyaad Munshi
85.		TD	Naudia Nelson
86.		TD	Kenneth Poon
87.		TD	Rajiv Ranjan
88.		TD	Aamir Shahzad
89.		TD	Lucy Vetro
90.		TD	Katherine Yu
91.		TD Wealth	Paul Garnavos
92.		TD Wealth Governance & Control	Elodie Goncalves
93.		TMX	Alexandre Prince
94.		Torstone	Dave O'Marra
95.		Vanguard/CEFTA	Jessica Stern
96.			Chris Madden
97.			Jane Chan
98.			Michelina Crecco
99.			Laxman
100.			Michael G
101.			Ashley S.
102.			prem